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TELEPHONE: 512/474-5201  
FACSIMILE: 512/536-4598

WRITER'S INTERNET ADDRESS:  
RHANSON@FULBRIGHT.COM

WRITER'S DIRECT DIAL NUMBER  
512/536-3085

FULBRIGHT & JAWORSKI L.L.P.

A REGISTERED LIMITED LIABILITY PARTNERSHIP  
600 CONGRESS AVENUE, SUITE 2400  
AUSTIN, TEXAS 78701

HOUSTON  
WASHINGTON, D.C.  
AUSTIN  
SAN ANTONIO  
DALLAS  
NEW YORK  
LOS ANGELES  
MINNEAPOLIS  
LONDON  
HONG KONG

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FILE: CLFR:009US  
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**CERTIFICATE OF EXPRESS MAILING**  
NUMBER EL 780053499 US  
DATE OF DEPOSIT November 16, 2001

## BOX PATENT APPLICATION

Commissioner for Patents  
Washington, DC 20231

RE: *U.S. Patent Application Entitled: INHIBITION OF NF- $\kappa$ B BY TRITERPENE COMPOSITIONS - Guterman, et al.*

Commissioner:

Transmitted herewith for filing is a 280-page patent specification including 54 claims, a 3 page sequence listing and an abstract. Also included are Figures 1-62 on 67 sheets. The specification and drawings constitute the application of Jordan U. Guttermann and Valsala Haridas for the captioned invention.

Also transmitted herewith is a diskette containing the computer-readable form of those sequences in the specification, a Statement as Required Under 37 C.F.R. § 1.821(f), and a separate paper copy of the sequence listing.

Applicant is entitled to Small Entity Status Pursuant to 37 C.F.R. § 1.27.

Please note that this application is filed without an inventors' Declaration and Assignment, a Power of Attorney, and filing fees. Pursuant to 37 C.F.R. § 1.53(b) and (f), the Applicants request the Patent and Trademark Office to accept this application and accord a serial number and filing date as of the date this application is deposited with the U.S. Postal Service for Express Mail. Further, the Applicants request that the NOTICE OF MISSING PARTS-FILING DATE GRANTED pursuant to 37 C.F.R. § 1.53(f) be sent to the undersigned Applicants' representative.

Please date stamp and return the enclosed postcard to evidence receipt of this application.

Commissioner for Patents  
November 16, 2001  
Page 2

Respectfully submitted,



Robert E. Hanson  
Reg. No. 42,628

REH/jmh  
Encl.: As noted

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
JORDAN U. GUTTERMAN

Serial No.: UNASSIGNED

Filed: CONCURRENTLY HEREWITH

For: INHIBITION OF NF- $\kappa$ B BY  
TRITERPENE COMPOSITIONS

Group Art Unit: UNASSIGNED

Examiner: UNASSIGNED

Atty. Dkt. No.: CLFR:009US

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**STATEMENT AS REQUIRED UNDER 37 C.F.R. § 1.821(f)**

**BOX SEQUENCE**

Commissioner for Patents  
Washington, D.C. 20231

Commissioner:

Submitted herewith is a computer readable form and a paper copy of the sequence listing of those sequences in the captioned patent application. The computer readable form of the sequence listing is the same as the paper copy of the sequence listing. The sequence information provided in the Specification is also the same as the sequence listing of the enclosed computer readable and paper forms of the sequence listing.

Respectfully submitted,



Robert E. Hanson  
Reg. No. 42,628  
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.  
600 Congress Avenue, Suite 2400  
Austin, Texas 78701  
(512) 536-3085

Date: November 16, 2001

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